## CORRECTED VERSION

## (19) World Intellectual Property Organization International Bureau



## 

(43) International Publication Date 3 February 2000 (03.02.2000)

**PCT** 

PCT/US99/16576

# (10) International Publication Number WO 00/04787 A 1

Inc., 9th floor, 225 South Lake Avenue, Pasadena, CA

(74) Agents: SHELDON, Jeffrey, G. et al.; Sheldon & Mak,

(84) Designated States (regional): European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC,

(51) International Patent Classification<sup>7</sup>: A23B 7/148, C08G 77/442, B65D 81/24

(21) International Application Number:

(22) International Filing Date: 22 July 1999 (22.07.1999)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data: 09/121,082 23

23 July 1998 (23.07.1998) US

nglish Published:

With international search report.

(81) Designated State (national): JP.

91101 (US).

NL, PT, SE).

10 May 2001

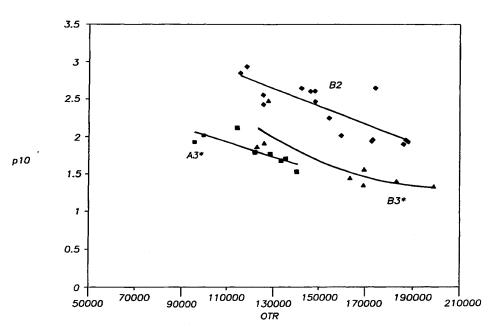
(71) Applicant: LANDEC CORPORATION [US/US]; 3603 Haven Avenue, Menlo Park, CA 94025 (US).

(72) Inventors: CLARKE, Raymond; 1394 Marinovich Way, Los Altos, CA 94024 (US). SCHULTZ, Donald, A.; 3901 Marshall Avenue, San Mateo, CA 94403 (US). (15) Information about Correction: see PCT Gazette No. 19/2001 of 10 May 2001, Section II

(48) Date of publication of this corrected version:

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: PACKAGING BIOLOGICAL MATERIALS



(57) Abstract: Novel gas-permeable membranes which are particularly useful in the packaging of fresh cut fruit and vegetables, and other respiring biological materials. The membranes comprise a microporous film or other gas-permeable substrate and, coated on the substrate, a layer of a block copolymer having a heat of fusion of at least 5 J/g and containing polysiloxane polymeric blocks and crystalline polymeric blocks having a melting point of -5 °C to 40 °C.



#### PACKAGING BIOLOGICAL MATERIALS

This invention relates to gas-permeable membranes which are useful in particular for the packaging of biological materials, especially fresh produce.

5

10

15

20

Fruit and vegetables, and other respiring biological materials, consume oxygen  $(O_2)$  and produce carbon dioxide  $(CO_2)$  at rates which depend upon temperature and upon the particular material and the stage of its development. Their storage stability depends on the relative and absolute concentrations of O2 and CO2 in the atmosphere surrounding them, and on temperature. Ideally, a respiring material should be stored in a container having a total permeability to O2 and a total permeability to CO<sub>2</sub> which are correlated with (i) the atmosphere outside the package (usually air), (ii) the rates at which the material consumes O2 and produces CO<sub>2</sub>, and (iii) the temperature, in order to produce an atmosphere within the container (the "packaging atmosphere") having the desired O<sub>2</sub> and CO<sub>2</sub> concentrations for preservation of the material. The total permeability to water vapor may also be significant. This is the principle behind the technology of controlled atmosphere packaging (CAP) and modified atmosphere packaging (MAP), as discussed, for example, in US Patent Nos. 4,734,324 (Hill), 4,830,863 (Jones), 4,842,875 (Anderson), 4,879,078 (Antoon), 4,910,032 (Antoon), 4,923,703 (Antoon), 5,045,331 (Antoon), 5,160,768 (Antoon) and 5,254,354 (Stewart); International Publication No. WO 96/38495, European Patent No.676920, and European Patent Applications Nos. 0,351,115 and 351,116 (Courtaulds).

25

30

The  $O_2$  transmission rate (referred to herein as OTR) and  $CO_2$  transmission rate (referred to herein as COTR), of a body composed of a particular material, are the amounts of  $O_2$  and  $CO_2$ , respectively, which will pass through a defined area of that body under defined conditions. The total permeabilities of a container to  $O_2$  and  $CO_2$  depend, therefore, upon the areas, OTRs and COTRs of the various parts of the container.

The preferred packaging atmosphere depends on the stored material. For many materials, the preferred concentration of O<sub>2</sub> is less than the preferred concentration of

CO<sub>2</sub>. For example, broccoli is generally best stored in an atmosphere containing 1-2% O<sub>2</sub> and 5-10% CO<sub>2</sub>; berries are generally best stored in an atmosphere containing 5-10% O<sub>2</sub> and 10-20% CO<sub>2</sub>; and cherries are generally best stored in an atmosphere containing 5-8% O<sub>2</sub> and 10-20% CO<sub>2</sub>. In order to produce a packaging atmosphere having a high ratio of CO<sub>2</sub> to O<sub>2</sub>, the container should have a low ratio of total CO<sub>2</sub> permeability to total O<sub>2</sub> permeability. The term R ratio is used herein to denote the ratio of COTR to OTR for a particular material or the ratio of total CO<sub>2</sub> permeability to total O<sub>2</sub> permeability of a container or part of a container.

5

10

15

20

25

30

Respiring biological materials are often stored at temperatures substantially below normal room temperature, but exposed to room temperatures before being used. At room temperatures, the respiration rate increases. Therefore, in order to maintain the desired packaging atmosphere, the permeability of the container preferably increases sharply between storage temperatures and room temperatures.

Respiring biological materials are generally stored in sealed polymeric containers. Conventional polymeric films, when used on their own, do not provide satisfactory packaging atmospheres because their OTR and COTR values are very low and their R ratios are high. Microporous polymeric films, when used on their own, are also unsatisfactory, but for different reasons; namely because their OTR and COTR values are very high and their R ratios close to 1.0. It has been proposed, therefore, that containers should comprise (i) one or more barrier sections which are relatively large in area and are composed of materials having relatively low OTR and COTR values (e.g. are composed of a conventional polymeric film) and (ii) one or more atmosphere-control members which are relatively small in area and are composed of a microporous film, and which provide at least a large proportion of the desired permeability for the whole container. However, for containers of conventional size, the preferred total O<sub>2</sub> permeability, although larger than can be provided by the barrier sections alone, is still so small that control members made of a microporous film need to be very small in area. Such very small control members are difficult to incorporate into containers, and

can easily become blocked in use. In addition, the OTR of microporous films does not change much with temperature.

Improved results can be obtained through the use of atmosphere-control members composed of a membrane prepared by coating a thin layer of a polymer onto a microporous film. The permeability of these membranes is lower, and as a result such atmosphere-control members are of practical size. Furthermore, through appropriate choice of the coating polymer, in particular the use of a low-melting side chain crystalline (SCC) polymer as described in International Publication No. WO 96/38495, the membranes can have OTRs which increase sharply with temperature. However, the known coated membranes suffer from a number of problems. For example, their permeabilities are liable to be nonuniform and/or to change during use, particularly when using the SCC polymers which give rise to high P<sub>10</sub>values This is apparently due to two factors. First, the SCC polymers tend to be somewhat tacky, and, therefore, to be partially removed from the microporous base film during handling. Second, their inherent permeability to gases is such that, in order to produce a coated membrane of desired size and O2 permeability, the coating must be rather thin (e.g. 2-3 microns thick). Such thin coatings are difficult to apply uniformly and are liable to be damaged during use. In addition, the known coated membranes generally have R ratios which are too high when the desired packaging atmosphere contains a relatively large proportion of CO2.

This invention provides improved gas-permeable membranes which can be used to overcome these problems and which comprise

- (a) a gas-permeable substrate, particularly a microporous polymeric film, and
- (b) a polymeric coating on the microporous film, the polymeric coating comprising a block copolymer which has a heat of fusion  $\Delta H$  of at least 5 J/g, and which comprises

(i) polysiloxane polymeric blocks, and

(ii) crystalline polymeric blocks having a melting point,  $T_p$ , of -5° to  $40^{\circ}$ C.

5

10

15

20

The gas permeable membranes are particularly useful as atmosphere control members in packages for respiring biological materials, and will be chiefly described by reference to such use. It is to be understood, however, that the invention includes gas-permeable membranes which are useful for other purposes.

5

10

15

20

25

30

Even a small proportion of polysiloxane blocks reduces the tack of the polymer coating; and as the proportion of polysiloxane blocks increases, the inherent: permeability of the copolymer increases. This makes possible to increase the thickness of the coating so that the coating is durable and easy to apply, without an increase in the size of the atmosphere-control members. Thus, this invention makes it possible to prepare gas-permeable membranes which are resistant to damage through abrasion, have uniform properties, and which combine (a) a P<sub>10</sub> value of at least 1.8, e.g. 2.0 to 2.8, and (b) an OTR of more than 2,325,000 ml/m<sup>2</sup>.atm.24hrs (150,000 cc/100 inch<sup>2</sup> - atm 24 hrs), e.g. 2,480,000 to 3,410,000 ml/m<sup>2</sup>.atm.24hrs (160,000 to 220,000 cc/100 inch<sup>2</sup> - atm 24 hrs).

In describing the invention, the following abbreviations, definitions, and methods of measurement are used. OTR is  $O_2$  permeability. COTR is  $CO_2$  permeability. OTR and COTR values are measured at about 22 °C. unless otherwise noted, and are given in ml/m².atm.24hrs, with the equivalent in cc/100 inch² - atm 24 hrs in parentheses. OTR and COTR values were measured using a permeability cell (supplied by Millipore) in which a mixture of  $O_2$ ,  $CO_2$  and helium is applied to the sample, using a pressure of 0.035 kg/cm² (0 .5 psi), and the gases passing through the sample were analyzed for  $O_2$  and  $CO_2$  by a gas chromatograph. The cell could be placed in a water bath to control the temperature. The abbreviation  $P_{10}$  is used to denote the ratio of OTR at a first temperature  $T_1$ °C (OTR₂) to OTR at a second temperature  $T_2$ °C (OTR₂), where  $T_2$  is  $(T_1$ °C-10 °C.),  $T_1$  being a temperature in the range 10-25 °C., or, when  $T_2$  is a temperature which is not  $(T_1$ -10) °C., but is a temperature lower than  $T_1$ , to denote the ratio

$$P_{10} = exp$$
 10. In OTR<sub>1</sub>  $T_1$ - $T_2$  OTR<sub>2</sub>

The abbreviation R is used to denote the ratio of COTR to OTR. Pore sizes are measured by mercury porosimetry or an equivalent procedure.  $T_o$  denotes the onset of melting,  $T_p$  denotes the crystalline melting point, and  $\Delta H$  denotes the heat of fusion.  $T_o$ ,  $T_p$  and  $\Delta H$  are measured by means of a differential scanning calorimeter (DSC) at a rate of 10 °C./minute and on the second heating cycle.

5

10

15

20

25

30

The microporous base film preferably used as the porous substrate in this invention comprises a polymeric matrix which provides a network of interconnected pores such that gases can pass through the film. The average pore size of the base film can be 0.02 to 5 micron, but is preferably greater than 0.05 micron and less than 0.24, particularly less than 0.20, especially less than 0.15, micron. Preferably at least 70%, particularly at least 90%, of the pores have a pore size of less than 0.24micron. Preferably at least 60%, particularly at least 80%, of the pores have a pore size of less than about 0.15 micron. Particularly at least 60%, especially at least 70%, of the pores have a pore size of less than about 0.11 micron. The pores preferably constitute 35 to 80%, particularly 60 to 75%, by volume of the film. A narrow range of pore sizes is preferred. For example, it is preferred that less than 20% of the pores have a size less than 0.014 micron, and that less than 20% of the pores have a pore size greater than 0.13 micron.

It is highly desirable that the base film should have sufficient strength (and other physical properties) to ensure that it is not damaged in processing or in use. Accordingly, the base film preferably has a tear strength of at least 30g, particularly at least 70g, when measured by the following test. A sample of the film, 10 by 1.27 cm, is used. A slit 5 mm long is made in one of the short sides. The two flaps on either side of the slit are placed in opposing jaws of an Instron tensile tester, and the tear strength measured at a jaw separation rate of 12.7cm(5 inch)/minute. If the base film has too low a tear strength, it is possible to prepare a satisfactory membrane by laminating the base film, before or after it has been coated, to a support film which has a satisfactory tear strength and sufficient porosity that its presence does not adversely affect the permeability of the coated membrane. It is also preferred that the microporous base film should have a

Sheffield smoothness of at least 30. It is also preferred that the base film is composed of a material that can be heat-sealed.

Preferred polymers for polymeric matrix of the base film are (1) essentially: linear ultrahigh molecular weight polyethylene having an intrinsic viscosity of at least 18, preferably 18 to 39, deciliters/gram, (2) essentially linear ultrahigh molecular weight polypropylene having an intrinsic viscosity of at least 6 deciliters/gram, and (3) mixtures of (1) and (2).

The preferred base film includes a finely divided, particulate, substantial[y water insoluble, inorganic filler, for example a siliceous filler, which is distributed throughout the matrix and which is present in amount 50 to 90%. particularly 50 to 85%, by weight of the base film. The filler is preferably silica, particularly precipitated silica, especially silica having an average ultimate particle size of less than 0.1 micrometer. Preferably the filler occupies 35 to 80% of the total volume of microporous film. Particularly preferred, because they have a relatively narrow range of pore sizes, are films made by extruding a polymeric composition which contains an inorganic filler and a processing oil, e.g. a paraffinic oil, naphthenic oil or aromatic oil, uniformly distributed therein; followed by extraction of the processing oil, e.g. with trichloroethylene. Suitable films are disclosed, for example, in US Patent Nos. 4,937,115 and 3,351,495; such films are sold by PPG Industries under the tradename "Teslin". The thickness of the base film is preferably 0.03 to 0.65 mm.

The microporous base film can be modified by calendering at a nip pressure of 17.8 to 266.6 kg per linear cm (100 to 1500 pli). Calendering the base film often, results in coated films which have reduced OTR values and increased R values, The base film can be uniaxially or biaxially calendered. The base film can also be stretched, uniaxially or biaxially.

30

5

10

15

20

25

The term block copolymer is used in this specification in a broad sense to include random block copolymers, ordered block copolymers (including thermoplastic elastomers), and graft block copolymers in which one of the blocks is

the polymer backbone and the other blocks are pendant from the backbone. The block copolymer used as a coating polymer in this invention comprises, and may consist essentially of,

(i) polysiloxane polymeric blocks and

5

10

15

20

25

30

(ii) crystalline polymeric blocks having a melting point T<sub>p</sub> of -5 °C, to 40 °C.

The crystalline polymeric blocks must have sufficient crystallinity, and be present in a large enough proportion, that the block copolymer has a heat of fusion  $\Delta H$  of at least 5J/g, preferably at least 10 J/g, with higher values resulting in higher P10 values. The polysiloxane polymeric block is present in amount sufficient to reduce the tackiness of the crystalline polymer to a level such that the layer of coating polymer is not easily damaged during routine handling. A small proportion, e.g. 5 to 15% by weight of the block copolymer, can be effective for this purpose. However, it: is usually preferred that the copolymer should contain the polysiloxane blocks in amount such that the oxygen permeability of the copolymer is substantially greater than the oxygen permeability of the crystalline polymer alone, for example at least 1.2 times, preferably at least 1.5 times, the oxygen permeability of the crystalline polymer alone. For this purpose, the copolymer may contain, for example, up to 80% of the polysiloxane blocks, and preferably contains 15 to 60%, particularly 20 to 40%, of polysiloxane blocks. It is preferred that the oxygen permeability of the block copolymer is such that the coating weights at which it can be conveniently and consistently applied result in a coated membrane having the desired OTR.

The crystalline polymeric blocks have a melting point  $T_p$  of -5 °C. to 40 °C., with  $T_p$  being selected with a view to the temperature range over which an increase in OTR is desired. Generally  $T_p$  is from -5 °C. to 15 °C. The crystalline polymeric blocks can all be the same, or there can be two or more different kinds of crystalline polymer block. Suitable polymers from which the crystalline polymeric blocks can be derived include the polymers described in US Patent No. 5,254,354. Particularly preferred are side chain crystalline (SCC) polymers. SCC polymers can be prepared for example by copolymerizing (i) at least one n-alkyl or -fluoroalkyl acrylate or methacrylate in which the alkyl group contains at least 12 carbon atoms, preferably one or more of docosanyl, octadecyl, hexadecyl, tetradecyl, and dodecyl

acrylates, and (ii) one or more comonomers selected from acrylic acid, methacrylic acid, esters of acrylic or methacrylic acid in which the esterifying group contains less than 10 carbon atoms, e.g. hydroxyethyl butyl, hexyl, cyclohexyl, or 2-ethyl hexyl, and highly polar monomers such as polyethylene glycol acrylate or methacrylate. These SCC polymers generally have a high R ratio, e.g. greater than 5, except for those polymers which contain substantial amounts of fluoroalkyl and/or cycloalkyl groups. Particularly preferred SCC polymers are those prepared by copolymerizing (i) at least one n-alkyl acrylate or methacrylate in which the n-alkyl group contains at least 12 carbon atoms and (ii) one or more comonomers selected from acrylic acid, methacrylic acid, and esters of acrylic or methacrylic acid in which the esterifying group contains less than 10 carbon atoms.

5

10

15

20

25

30

The polysiloxane blocks in the block copolymer can be derived from conventional polysiloxanes, e.g. polyalkyl siloxanes, for example polydimethylsiloxanes and polymethyl n-alkyl siloxanes wherein the n-alkyl group contains a dodecyl, tetradecyl or hexadecyl group or other group which confers crystalline character on the polysiloxane. The polysiloxane blocks can all be of the same kind or there can be two or more different kinds of polysiloxane block.

The block copolymers can be prepared using conventional synthetic techniques. One method of making the block copolymer comprises copolymerizing a mixture of reactants which comprises (i) one or more monomers which will react to, form a crystalline polymer, e.g. monomers which comprise at least one n-alkyl: acrylate or methacrylate in which the n-alkyl group contains at least 12 carbon, atoms, and (ii) a polysiloxane having a copolymerizable group, e.g. a methacryloxypropylgroup, at one end of the polymer chain. The block copolymers prepared by this method have a backbone which provides the crystalline block, and polysiloxane blocks which are pendant from that backbone. These copolymers are believed to be novel, and as such form part of the present invention. Another method comprises reacting (i) a crystalline polymer having a melting point of -5 to 40 °C. and containing at least one reactive group, and (ii) a polysiloxane containing at least one group which will react with said reactive group on the crystalline polymer. Suitable reactive groups in the polysiloxane include amino groups.

Suitable reactive groups in the crystalline polymer include carboxylic acid groups which will react with amino groups on a polysiloxane. For further details of suitable synthetic methods, reference may be made to U.S. Patent No. 5,665,822.

5

The block copolymer can contain other blocks which are not polysiloxane blocks or crystalline blocks as defined above. Two or more block copolymers as defined above can be blended together, or the block copolymer(s) as defined above can be blended with another polymer.

10

15

The coating polymer can be applied to the porous substrate as a coating composition which comprises the coating polymer dissolved in a suitable solvent, e.g. toluene, tetrahydrofuran, heptane or methyl ethyl ketone. After the composition has been applied, the solvent is removed by heating, leaving the polymer adherent to the substrate. The concentration of the coating polymer in the coating composition is preferably 2 to 12%, e.g. 5 to 10%, based on the weight of the composition. The coating composition can contain other ingredients in addition to the polymer and the solvent.

20

The coating can be carried out in any convenient way, for example by hand using a Meyer rod, or using commercially available coating equipment, e.g. gravure- coating equipment, which is preferred, or knife-over-roll equipment. A single coating is normally adequate, but a second coating of the same or a different coating composition can be applied after drying the first. Preferably the coating is carried out using a gravure coating roll having a theoretical cell volume of 31 x  $10^6$  to  $232.5 \times 10^6$  cubic microns per mm² ( $20 \times 10^9$  to  $150 \times 10^9$  cubic microns per inch²), preferably  $62 \times 10^6$  to  $124 \times 10^6$  cubic microns per mm² ( $40 \times 10^9$  to  $80 \times 10^9$  cubic microns per inch²), or using equipment which provides a similar coating weight. The coating weight is preferably 1.5 to 5, particularly 2 to 4, e.g. 2.9 to 3.6, g/m².

30

25

As discussed above, the properties of the membranes of the invention depend upon a number of factors, including the base film, the coating polymer, the coating composition, and the amount of coating composition applied to the base

film. The membrane preferably has a P<sub>10</sub> ratio, over at least one 10°C, range between -5 and 15 °C., preferably over at least one 10 °C. range between O °C. and 15 °C., of at least 1.3, preferably at least 2, particularly at least 2.5, especially at least 2.6. The membrane preferably has an OTR of 775,000 to 7,750,000 (50,000 to 500,000), preferably 1,550,000 to 3,875,000 (100,000 to 250,000), particularly at least 2,325,000,(150,000). When the OTR is 775,000 to 3,100,000 (50,000 to 200,000), the R ratio of the membrane is preferably at least 2. particularly at least 2.5, especially at least 3; and when the OTR is 775,000 to 3,100,000 (50,000 to 200,000), the R ratio is preferably more than 3.8 -0.00000045 P' (3.8 - 0.000007P), particularly up to 7.4-0.00000116 P'(7.4-0.000018P), especially up to 5.6 -0.0000084 P' (5.6-0.000013P), where P' is the OTR in ml/m<sup>2</sup>.atm.24hrs, and P is the OTR in cc/100 inch<sup>2</sup> - atm 24 hrs. The membrane preferably also has these values for OTR and R when the OTR and COTR are measured at any temperature between 20 and 25 °C. The membrane preferably has these values for OTR, P<sub>10</sub> and R both when the O<sub>2</sub> and CO<sub>2</sub> permeabilities are measured at 0.7 kg/cm<sup>2</sup> (10 psi) and when they are measured at  $0.035 \text{ kg/cm}^2$  (0.5 psi).

The membrane can be covered by a covering layer, so that the polymeric coating is sandwiched between the microporous film and the covering layer, providing that the covering layer is sufficiently porous not to have an adverse effect on permeability. The covering layer is generally different from, but can be the same as, the base film. The cover layer can be melt-bonded to the coated film. However, the use of a covering layer adds to the cost of the product.

25

5

10

15

20

The properties of the membrane can be modified by calendering, uniaxially or biaxially, preferably at a nip pressure of 17.8 to 266.6 kg per linear cm. (100 to 1500 pli). Calendering generally reduces the OTR and increases the R ratio of the membrane.

30

In use, the novel gas-permeable membrane of this invention provides at least part of an atmosphere-control member in a container which is sealed (or is suitable for sealing) around a respiring biological material. The container can

contain a single novel control member, or two or more novel control members (which will usually be the same, but can be different). In some cases, in order to ensure that the internal pressure within the container can equilibrate with ambient pressure, the container can contain a pinhole. The invention includes the possibility that the container also contains one or more atmosphere-control members which are not in accordance with the present invention.

5

10

15

20

25

30

The remainder of the container, i.e. the barrier section or sections, which is of much larger area than the control member(s), is composed of one or more materials which are relatively impermeable to O2 and CO2, e.g. a suitable polymeric film or other shaped article. In some cases, the barrier sections are composed of a material whose OTR and COTR are so low that the packaging atmosphere is substantially determined only by the control member(s). In other cases, the barrier sections have OTR and COTR values which (although low) are high enough that, having regard to the relative large area of the barrier section(s), a substantial proportion of the O<sub>2</sub> entering the packaging atmosphere passes through the barrier: sections. At 22 °C this proportion can be, for example, as high as 50%, but is generally less than 25%. Typically, the barrier section is provided by a bag of flexible polymeric film or by two preformed, relatively rigid, polymeric members which have been heat-sealed to each other, and the atmosphere-control member covers an aperture cut into the bag or one of the preformed members. The control member(s) can be secured to the barrier section(s) in any way, for example through heat sealing or with an adhesive. The size of the containers can vary considerably. In one category, the container contains up to 2.26 kg (5 lb) of produce. In another category, the containers are much larger, e.g. containing up to 1500 lb. (680 kg) of produce.

A wide range of respiring biological materials can be packaged in containers of the invention, including cherries, broccoli, cut lettuce, cauliflower, mushrooms, asparagus, and strawberries.

The size and nature of the atmosphere-control members control the absolute and relative amounts of O<sub>2</sub> and CO<sub>2</sub> which can enter and leave the

container, and, therefore, the packaging atmosphere within the container. The desired packaging atmosphere will depend upon the biological material within the container, and the temperature, and the atmosphere-control member(s) should be selected accordingly. Those skilled in the art of packaging biological materials will have no difficulty, having regard to the disclosure in this specification and their own knowledge, in designing containers which will give substantially improved results under practical conditions of use and which can be economically manufactured.

#### 10 EXAMPLES

5

15

The invention is illustrated in the following Examples, which are summarized: in Tables 1, 2 and 3 below, and some of which are comparative examples, as indicated by an asterisk (\*) by the Example number. In the Examples, the following additional abbreviations are used.

	ADMS	is a polydimethyl siloxane terminated at each end by an amino
		group and having an $M_{\text{w}}$ of about 27,000, which is available from
		Gelest, Tulleytown, PA, under the tradename DMSA-32.
20	VDMS	is a polydimethyl siloxane terminated at each end by a vinyl
		group and having an $M_{\rm w}$ of about 28,000, which is available from
		Gelest under the tradename DMSV-31.
	MACDMS	is a polydimethylsiloxane terminated at one end only by a
		methacryloxypropyl group, which is available from Gelest under
25		the trade name MCR M-17.
	DMS	is a room temperature vulcanizable (RTV) polydimethyl siloxane
		available from General Electric under the tradename Sylgard
		184.
	AIBN	is 2,2'-azobis (2-methylpropionitrile).
30	Esperox	is t-amylperoxy-2-ethylhexanoate, which is available from Witco
		Corp. under the tradename Esperox 570.
	V501	is 4,4-azobis (4-cyanopentanoic acid).

TMI is dimethyl meta-isopropenyl benzyl isocyanate, which is

available from American Cyanamid under the tradename TMI.

Teslin SP7 is a microporous polyethylene film containing about 50% silica.

which is available from PPG Industries under the tradename

Teslin SP7. It has a thickness of about 0.18 mm (0.007 inch), a tear strength of about 90g, a porosity of about 65%, an average

pore size of about 0.1 micron and a largest pore size of 4–10

microns.

BuAc is butyl acetate.

10 MEK is methyl ethyl ketone.

#### **EXAMPLES A1-A7**

5

15

20

25

Examples Al-A7 show the preparation of SCC polymers. Examples Al-A5 show the preparation of SCC polymers which are subsequently reacted with a polysiloxane to form a block copolymer. Examples A6 and A7 show the direct preparation of SCC/siloxane block copolymers through the use of a polysiloxane which is terminated at one end with an unsaturated group which will copolymerize with the acrylate monomers. SCC polymers having the Mw, Mn, T and AH values shown in Table I were prepared using the monomers, solvents and initiators, and amounts thereof (in parts by weight) shown in Table 1. In a first step, the monomers, and the solvents and initiators shown for the first step, were maintained at the temperature and for the time shown in Table 1 for the first step. In Examples 2 and 4, the heptane was added 2 hours after the heating of the first step had commenced. In a second step, polymerization was completed by adding the initiators shown in Table 1 for the second step and maintaining the reaction mixture at the temperature and for the time shown in Table I for the second step.

#### Examples B1-B6

30

In Examples BI, B2, B4, B5 and B6, SCC/siloxane block copolymers were prepared using one of the SCC polymers prepared in Examples A2 to A5 and 3: polysiloxane, in the amounts (in parts by weight) shown in Table 2. In Example B 1

the reaction was carried out in butyl acetate (260 parts by weight), and the reaction, mixture was heated under reflux at 11 °C for 6 hours. Similar reaction conditions were employed in Examples B2-B5 In Example B6, the SCC polymer (A5) was, diluted to 4% solids, and the polysiloxane (ADMS) was then added. The resulting mixture was immediately applied to the substrate using a gravure coating cylinder as described below, and reaction between the SCC and siloxane polymers took place on the substrate. In Example B3, the SCC polymer and the polysiloxane were merely blended together, and no reaction took place between them.

#### 10 TESTING

5

15

20

25

30

The SCC polymers prepared in Examples Al and A3, the SCC/siloxane block copolymers prepared in Examples A6, A7, B 1, B2, B4, B5 and B6, the SCC polymer/polysiloxane blend prepared in Example B3, and DMS were tested as set out below, and the results are shown in Table 3 below.

The DMS was diluted to 90% solids with toluene and hand-coated onto Teslin SP7 using a #30 Meyer rod. The other polymers were diluted in a suitable solvent (usually heptane) to a desired concentration, as shown in Table 3. The diluted solution was coated onto a Teslin SP7 substrate using a gravure coating cylinder having a theoretical cell volume of 5 9 x 10' cubic microns per inch<sup>2</sup> and then allowed to dry. The coating weight of the dry polymer varies, for example, with the molecular weight of the polymer; when the concentration of the polymer is 5-8%, the use of this gravure coating cylinder results in a dry coating weight of about 1.5-4  $\ensuremath{\text{g}/\text{m}^2}$  . After a period of days, as set out in Table 3, the OTR and COTR of the coated substrate were measured and the value of R was calculated. The values obtained changed somewhat during the first four days after coating, but did not change substantially thereafter. The OTR and COTR of a number of different samples were measured, and Table 3 reports the average of four samples, and, in the column headed "OTR RSD %", the standard deviation of the measured samples, expressed as a percentage. For some samples, the OTR was measured at 0 and 10 °C or at 7 and 22°C and the P<sub>10</sub> value was calculated, and is reported in

Table 3. The P<sub>10</sub> value of the 5% B4 sample between 5 and 15°C was also measured and found to be 3.4.

Some samples were subjected to an abrasion test. In the abrasion test, a 1.82 kg (4 lb.) roller covered with a film of polyethylene terephthalate 0.05 mm (0.002 inch) thick was rolled 50 times over the sample (25 times back and forth) while the sample was at about 22°C. After the abrasion test, the OTR of the sample was measured; and the % increase in OTR was calculated and is reported in Table 3 in the column headed "25cycle OTR increase %".

10

15

5

Some samples were tested by applying the sample to a latex rubber substrate, using an Instrumentors Inc. 4 lb. PR 1000 Power Roll at 22°C and, after 20 minutes, determining the peel strength of the resulting laminate, using an Instrumentors Inc. peel tester at 254 mm (110 inches) per minute. The peel test was carried out on a number of different samples, and Table 3 reports, in the column headed "Peel RSD %", the standard deviation of the measured samples, expressed as a percentage.

The individual P<sub>10</sub> and OTR values (i.e. not the averages reported in Table 3)

20 measured in Examples A3\*, B2\* and B3 are shown graphically in Figure 1.

TABLE 1

	A1	A2	A3	A4	A5	A6	A7
Monomers							
C14A	55	56.5	85	60	85	43.2	33.2
C12A				39.9		36.8	26.8
C6A	42	43.5	15		15		
AA	3			0.1			
ТМІ					0.4		
MACDMS						20	40
STEP 1						· · · · · · · · · · · · · · · · · · ·	
Solvents							
BuAc	95			30	100	200	200
Heptane	45	150	150	70			
MEK		50	50				
Initiator		:					
AIBN	0.25			0.35	0.3	0.1	0.1
V501		0.425	0.3				
Temp (°C)	54	54	54	54	54	75	75
Time (Hr.)	12	16	16	12	12	12	
STEP 2							
Initiator							
Esperox	0.5			0.5	0.67		
AIBN		0.0875	0.0875			0.05	0.05
Temp (°C)	100	70	70	100	100	85	85
Time (Hr.)	4	4	4	4	4	4	4
Product							
M <sub>w</sub>	838k	645k	626k	737k	1042k	335k	421k
M <sub>n</sub>	210k	70k	75k	96k	331k	105k	148k
Tp	-3.6	-6.6	13.0	12.6		8.8	9.1
ΔΗ	12	32.9	47.4	58.6		44.4	25.1

## TABLE 2

	B1	B2	B3*	B4	B5	B6
A2	180					***
A3		40	85			
A4				100	100	
A5						100
ADMS	32	10		18.75	33	25
VDMS			15			

### TABLE 3

Poly	Wt.	OTR	OTR	R	Peel	Peel	25-cycle	P <sub>10</sub>	Day
-mer	%		RSD			RSD	increase		
			%			%	%		
*A1	6	107k	1.0	6.21	271		580	1.23≅	8
	7	90k	3.3	6.26	259		1240	1.22≅	8
-	8	81k		5.7	262		1970	1.24≅	8
	9	67k	2.3	6.53	267		4570	1.24≅	8
B1	5	320k	4.5	4.25	5.1				2
	6	278k	1.0	4.29	5.4	18.2			2
	7	167k	6.6	5.72	6.0	19.9			2
	8	140k	10.1	5.98	4.8	29.3			2
	10	128k	8.4	6.03	5.6	32.1	8.3	1.36	2
*A3	6	182k	9.5	3.57	20.6	32.3	0	1.61	10
	8	104k	0.9	4.15	30.3	14.1			10
	10	98k	0.4	5.04	68.5	17.5		2.0	10
	8	126k	8.0	4.68			-5.7	1.76	25
B2	5	281k	32.3	3.3	4.9	4.6		1.2	11
	8	143k	13.5	5.3	6.6	38.6			2
	8	184k	3.5	4.0				2.0	71
	11.5	121k	4.3	5.1	12.9	35.5	-6.7	2.6	1
	11.5	150k	0.7	5.3				2.5	15
	11.5	146k	1.9	5.4				2.6	68

**TABLE 3 Continued** 

Poly	Wt.	OTR	OTR	R	Peel	Peel	25-cycle	P <sub>10</sub>	Day
-mer	%		RSD			RSD	increase		
	,		%			%	%		
*B3	5	542k	7.4	1.6	5.8	23.7			7
	8	155k	6.7	3.6	11.7	17.9			7
	8	170k	18.1	3.4				1.49	16
	11.5	120k	13.0	4.0	36.3	3.9	7.1		7
	11.5	145k	16.8	3.5				1.5	19
B4	5	147k	4.1	6.1				3.2	7
	8	125k	7.3	5.3					7
	8	169k	3.8	4.1				2.3	64
	11.5	98k	28.9	5.0					7
B5	5	225k	13.4	3.9					18
	8	162k	0.7	6.6					10
	8	180k	12.8	4.1					18
	8	176k	5.1	4.1				2.0	54
	11.5	144k	2.2	5.7					10
B6	5	175k	4.2	6.4				3.4	5
A6	5	381k	16.6	3.1				1.6	5
	11.5	179k	22.9	4.2				2.8	4
A7	5	629k	7.6	3.3					1
	8	481k	8.4	3.5	13.3	23.4			1
	11	420k	4.1	3.8	21.8	15.2		2.0	7
*DM S	90	73k	2.1	4.8				≅1.2	11

Note to Table 3:  $\cong$  means that the P<sub>10</sub> value given is the P<sub>10</sub> value between 7° and 22°C.

#### **CLAIMS**

1. A gas-permeable membrane which comprises

5

(a) a gas-permeable substrate, and

(b) a polymeric coating on the microporous film, the polymeric coating comprising a block copolymer which has a heat of fusion  $\Delta H$  of at least 5 J/g, and which comprises

(i) polysiloxane polymeric blocks, and

10

- (ii) crystalline polymeric blocks having a melting point, T<sub>p</sub>, of-5° to 40°C.
- A membrane according to claim 1 wherein the gas-permeable substrate is a microporous film and the block copolymer contains 15 to 60% of the polysiloxane
   blocks.
  - 3. A membrane according to claim 1 or 2 wherein T<sub>p</sub> is -5 to 15°C.
- 4. A membrane according to any one of the preceding claims wherein the block copolymer has at least one of the following characteristics:
  - (1) it has a  $T_p$  of 0 to 15°C and a  $\Delta H$  of at least 10 J/g;
  - (2) the crystalline polymeric blocks are side chain crystalline polymeric blocks; and
  - (3)  $T_p-T_0$  is less than 10°C, where  $T_0$  is the onset of melting temperature.

25

- 5. A membrane according to claim 4 wherein the crystalline polymeric blocks were prepared by copolymerizing (i) at least one n-alkyl acrylate or methacrylate in which the n-alkyl group contains at least 12 carbon atoms, and (ii) one or more comonomers selected from acrylic acid, methacrylic acid, and esters of acrylic or methacrylic acid in which the esterifying group contains less than 10 carbon atoms,
- 6. A membrane according to any one of the preceding claims wherein the coating weight of the block polymer is 10 to 20 g/m<sup>2</sup>.

5

10

- 7. A membrane according to any one of the preceding claims which
  - (i) has a P<sub>10</sub> ratio, over at least one 10°C range between -5° and 15°C., of at least 1.8; and

20

- (ii) has an oxygen permeability at all temperatures between 20° and 25°C of at least 2,325,000 ml/m² atm 24 hrs.
- 8. A membrane according to claim 7 which
  - (i) has a P<sub>10</sub> ratio, over at least one 10°C range between -5° and 15°C of 2.0 to 2.8; and
  - (ii) has an oxygen permeability at all temperatures between 20° and 25°C of 2,480,000 to 3,410,000 ml/m² atm 24 hrs.
- 9. A membrane according to claim 7 or 8 which has a P<sub>10</sub> ratio between 0 and 15 10°C of at least 2.0.
  - 10. A package which is stored in air and which comprises
    - (a) a sealed container, and
    - (b) within the sealed container, a respiring biological material and a packaging atmosphere around the biological material;

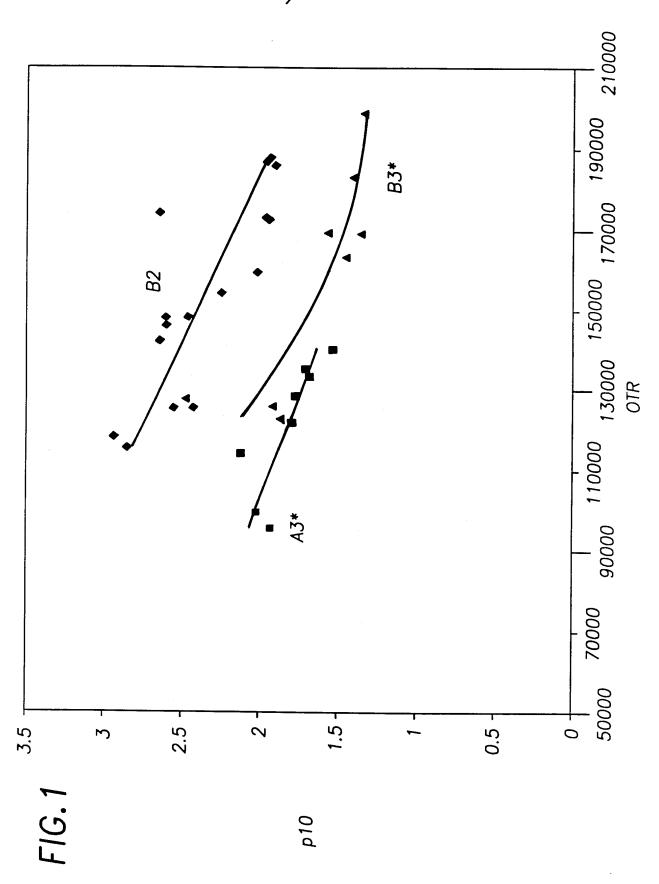
the sealed container including one or more permeable control sections which provide substantially the only pathways for oxygen and carbon dioxide to enter or leave the packaging atmosphere, at least one said permeable control section being a gaspermeable membrane as claimed in any one of the preceding claims.

25

- 11. A method of making a gas-permeable membrane as claimed in any one of the preceding claims which comprises applying to a porous substrate a composition comprising a block copolymer which has a heat of fusion  $\Delta H$  of at least 5 J/g, and which comprises
- 30 (i) polysiloxane polymeric blocks, and
  - (ii) crystalline polymeric blocks having a melting point,  $T_p$ , of -5° to 40°C.

12. A block copolymer prepared by copolymerizing a mixture of reactants which comprises (i) at least one n-alkyl acrylate or methacrylate in which the n-alkyl group contains at least 12 carbon atoms and (ii) a polysiloxane having a copolymerizable group at one end thereof.





## SUBSTITUTE SHEET (RULE 26)

#### INTERNATIONAL SEARCH REPORT

Intractional Application No PC I/US 99/16576

	<del></del>		
A. CLASSI IPC 7	FICATION OF SUBJECT MATTER A23B7/148 C08G77/442 B65D81/2	24	
According to	o International Patent Classification (IPC) or to both national classifica	ation and IPC	
	SEARCHED	anon and ii	
	ocumentation searched (classification system followed by classification COSG A23L A23B B65D	on symbols)	
Documenta	tion searched other than minimum documentation to the extent that s	such documents are included in the fields se	earched
	lata base consulted during the international search (name of data ba	se and, where practical, search terms used	)
C. DOCUM	ENTS CONSIDERED TO BE RELEVANT		<del></del>
Category °	Citation of document, with indication, where appropriate, of the rel-	evant passages	Relevant to claim No.
А	US 5 160 768 A (ANTOON JR) 3 November 1992 (1992-11-03) cited in the application column 3, line 14 - line 65		1–11
Α	WO 96 38495 A (LANDEC CORPORATION 5 December 1996 (1996-12-05) cited in the application page 8, line 3 - line 20; claims	1)	1-11
Α	US 5 045 331 A (ANTOON JR) 3 September 1991 (1991-09-03) cited in the application column 6, line 60 -column 7, line claims	e 32; -/	1-11
			i I
X Furth	ner documents are listed in the continuation of box C.	X Patent family members are listed	in annex.
° Special ca	tegories of cited documents :	"T" later document published after the inte	rnational filing date
consid	ent defining the general state of the art which is not lered to be of particular relevance document but published on or after the international	or priority date and not in conflict with cited to understand the principle or the invention "X" document of particular relevance; the c	the application but eory underlying the laimed invention
"L" docume which	nt which may throw doubts on priority claim(s) or	cannot be considered novel or cannot involve an inventive step when the do  "Y" document of particular relevance; the c  cannot be considered to involve an inv	cument is taken alone laimed invention
other n	ent published prior to the international filing date but	document is combined with one or mo ments, such combination being obviou in the art.	ore other súch docu- us to a person skilled
	aan the priority date claimed actual completion of the international search	"&" document member of the same patent	
	November 1999	Date of mailing of the international sea	(rca report
Name and m	nailing address of the ISA	Authorized officer	
	European Patent Office, P.B. 5818 Patentiaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016	Lepretre, F	

### INTERNATIONAL SEARCH REPORT

Intentional Application No
PCI/US 99/16576

	tion) DOCUMENTS CONSIDERED TO BE RELEVANT	I Date with a state Ma
Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 5 523 373 A (ESSELBORN ET AL.) 4 June 1996 (1996-06-04) claims	12

#### INTERNATIONAL SEARCH REPORT

Information on patent family members

Int ational Application No PCT/US 99/16576

Patent document cited in search report	t	Publication date		Patent family member(s)	Publication date
US 5160768	Α	03-11-1992	CA	1329572 A	17-05-1994
WO 9638495	Α	05-12-1996	AU EP JP	5950196 A 0828783 A 11506147 T	18-12-1996 18-03-1998 02-06-1999
US 5045331	Α	03-09-1991	CA	1324592 A	23-11-1993
US 5523373	Α	04-06-1996	DE DE EP	4414465 C 59502945 D 0679675 A	11-05-1995 03-09-1998 02-11-1995